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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		<b>Attorney Docket No.</b> NW-103	
		<b>First Inventor</b> Coach Wei	
		<b>Title</b> SYSTEM AND METHOD FOR STA	
		<b>Express Mail Label No.</b> ER019755198US	

22154 US PTO  
 10717784  
 111903

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. ☒ **Fee Transmittal Form** (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2. ☒ **Applicant claims small entity status.**  
*See 37 CFR 1.27.*
3. ☒ **Specification** [Total Pages 42]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ **Drawing(s)** (35 U.S.C. 113) [Total Sheets 11]
5. **Oath or Declaration** [Total Sheets 2]  
 a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
 i. ☐ **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
6. ☐ **Application Data Sheet.** See 37 CFR 1.76

7. ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program** *(Appendix)*
8. **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*
  - a. ☐ Computer Readable Form (CRF)
  - b. **Specification Sequence Listing on:**
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

<b>ACCOMPANYING APPLICATION PARTS</b>	
<ol style="list-style-type: none"> <li>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></li> <li>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></li> <li>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</li> <li>13. <input type="checkbox"/> Preliminary Amendment</li> <li>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></li> <li>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></li> <li>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</li> <li>17. <input type="checkbox"/> Other: .....</li> </ol>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP)

of prior application No.: .....

*Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_*

**For CONTINUATION OF DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>																					
<input checked="" type="checkbox"/> Customer Number: <span style="border: 1px solid black; padding: 2px 20px;">27769</span>	OR <input type="checkbox"/> Correspondence address below																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name</td> <td colspan="3">AKC Patents</td> </tr> <tr> <td>Address</td> <td colspan="3">215 Grove street</td> </tr> <tr> <td>City</td> <td>Newton</td> <td>State</td> <td>MA</td> </tr> <tr> <td>Country</td> <td>USA</td> <td>Telephone</td> <td>617-558-5389</td> </tr> <tr> <td></td> <td></td> <td>Fax</td> <td>617-332-0371</td> </tr> </table>		Name	AKC Patents			Address	215 Grove street			City	Newton	State	MA	Country	USA	Telephone	617-558-5389			Fax	617-332-0371
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		Fax	617-332-0371																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Name (Print/Type)</td> <td style="width: 30%;">Registration No. (Attorney/Agent)</td> <td style="width: 30%;">43558</td> </tr> <tr> <td>Signature</td> <td></td> <td>Date</td> </tr> </table>		Name (Print/Type)	Registration No. (Attorney/Agent)	43558	Signature		Date														
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Signature		Date																			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

16711 U.S. PTO  
11903

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PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1165.00)

## Complete if Known

Application Number  
Filing Date 11/19/2003  
First Named Inventor Coach Wei  
Examiner Name  
Art Unit  
Attorney Docket No. NW-103

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$385)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 78 -20\*\* = 58 X 9 = 522  
Independent Claims 9 -3\*\* = 6 X 43 = 258  
Multiple Dependent

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type) Alik K. Collins, Ph.D.

Registration No. (Attorney/Agent)

43558

(Complete (if applicable))

Telephone 617-558-5389

Signature

Date

11/19/2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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215 Grove Street  
Newton, MA 02466  
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November 19, 2003

**Mail Stop Patent Application**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attorney Docket No.: NW-103

Presented for filing is a utility patent application of:

Applicants: COACH WEI

Title: SYSTEM AND METHOD FOR STATEFUL WEB-BASED COMPUTING

This application claims the benefit of U.S. provisional application Serial No. 60/427,531 filed on November 19, 2002 and entitled SYSTEM AND METHODS FOR STATEFUL WEB COMPUTING which is commonly assigned and the contents of which are expressly incorporated herein by reference.

Enclosed are the following papers:

	<u>Pages</u>
Specification	42
Drawings	11
Application Cover Sheet	1
Transmittal Form	1
Declaration	2
Postcard	1
Power of Attorney	1
Transmittal Form for Filing Fee	1
A check for \$1165.00	

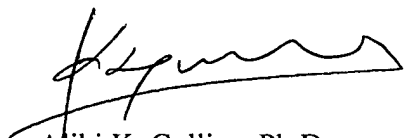
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at 617-558-5389

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

AKC Patents  
215 Grove Street  
Newton, MA 02466

Respectfully submitted,



Aliko K. Collins, Ph.D.  
Reg. No. 43558

AKC Patents, 215 Grove Street, Newton, MA 02466

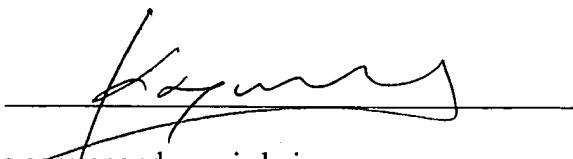
TEL: 617-558-5389, FAX: 617-332-0371

Enclosures

'EXPRESS MAIL "Mailing Label Number" ER019755198US

Date of Deposit \_ 11/19/2003

Name: Aliko K. Collins, Ph.D. Signature



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Addressee**" with sufficient postage on the date indicated above and is addressed to the  
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